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FACSIMILE INFORMATION SHEET

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From: Ann M. Cannoni, Esquire

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Total Number of Pages: 12

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Date Transmitted: August 3, 2005

CV01378K US

Client No.: 4686

File No.: 048002

Message:

Application No. 10/057,534
Inventors: Harry R. Davis
Filed: January 25, 2002
Title: COMBINATIONS OF BILE ACID SEQUESTRANT(S) AND STEROL ABSORPTION
INHIBITOR(S) AND TREATMENTS FOR VASCULAR INDICATIONS

Transmittal Form (1p)
Fee Transmittal 1p. (2x)
Supplemental Information Disclosure
Statement (2pp)
PTO Form 1449 (1p)
Cited Art (1 Ref)

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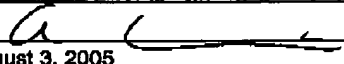
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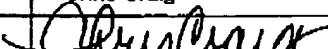
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/057,534
	Filing Date	January 25, 2002
	First Named Inventor	Harry R. Davis et al.
	Art Unit	1617
	Examiner Name	Shengjun Wang
Total Number of Pages In This Submission	11	Attorney Docket Number
		CV01378K US - 4686-048002

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition to Reinstate <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication To Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board Of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks Cited Art (1 Ref.)		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Or Individual name	Ann M. Cannoni The Webb Law Firm	
Signature		
Date	August 3, 2005	

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Chris Craig		
Signature		Date	08-03-2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 07/31/2006. OMB 0651-0032
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<p><i>Effective on 12/08/2004.</i> <i>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4318)</i></p> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2005</h3>		<p>Complete if Known</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>10/057,534</td></tr> <tr><td>Filing Date</td><td>January 25, 2002</td></tr> <tr><td>First Named Inventor</td><td>Harry R. Davis et al.</td></tr> <tr><td>Examiner Name</td><td>Shengjun Wang</td></tr> <tr><td>Art Unit</td><td>1617</td></tr> <tr><td>Attorney Docket No.</td><td>CV01378K US/4686-048002</td></tr> </table>		Application Number	10/057,534	Filing Date	January 25, 2002	First Named Inventor	Harry R. Davis et al.	Examiner Name	Shengjun Wang	Art Unit	1617	Attorney Docket No.	CV01378K US/4686-048002
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Examiner Name	Shengjun Wang														
Art Unit	1617														
Attorney Docket No.	CV01378K US/4686-048002														
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27															
TOTAL AMOUNT OF PAYMENT (\$) 180.00															
METHOD OF PAYMENT (check all that apply)															
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____															
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>23-0650</u> Deposit Account Name: <u>The Webb Law Firm</u>															
<p><small>For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)</small></p> <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) Under 37 CFR 1.16 and 1.17 </div> <div> <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Credit any overpayments </div> </div>															
<p><small>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.</small></p>															
FEE CALCULATION															
1. BASIC FILING, SEARCH, AND EXAMINATION FEES															
	FILING FEES		SEARCH FEES		EXAMINATION FEES										
	<u>Small Entity</u>		<u>Small Entity</u>		<u>Small Entity</u>										
<u>Application Type</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fees Paid (\$)</u>								
Utility	300	150	500	250	200	100	_____								
Design	200	100	100	50	130	65	_____								
Plant	200	100	300	150	160	80	_____								
Reissue	300	150	500	250	600	300	_____								
Provisional	200	100	0	0	0	0	_____								
2. EXCESS CLAIM FEES															
						<u>Small Entity</u>									
<u>Fee Description</u>						<u>Fee (\$)</u>	<u>Fee (\$)</u>								
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent						50	25								
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent						200	100								
Multiple dependent claims						360	180								
<u>Total Claims</u>		<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>										
- 20 or HP = _____		x _____	= _____		<u>Fee (\$)</u>		<u>Fee Paid (\$)</u>								
<p><small>HP = highest number of total claims paid for, if greater than 20</small></p>															
<u>Indep. Claims</u>		<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>											
- 3 or HP = _____		x _____	= _____												
<p><small>HP = highest number of independent claims paid for, if greater than 3</small></p>															
3. APPLICATION SIZE FEE															
<p>If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).</p>															
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>											
- 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____												
4. OTHER FEE(S)															
Non-English Specification, \$130 fee (no small entity discount)				<u>Fee Paid (\$)</u>											
Other: Information Disclosure Statement \$180.00				_____											
				180.00											

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	35,972
Name (Print/Type)	Ann M. Cannoni	Telephone	412-471-8815
		Date	August 3, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Application No. 10/057,534
 Paper Dated: August 3, 2005
 Attorney Docket No. CV01378K

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harry R. Davis et al.

Group Art Unit: 1617

Application No.: 10/057,534

Examiner: Shengjun Wang

Filed: January 25, 2002

Case No.: CV01378K US

For: **Combinations of Bile Acid
 Sequestrant(s) and Sterol Absorption
 Inhibitor(s) and Treatments for
 Vascular Indications**

Date: August 3, 2005

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

(a) Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, it is requested that the documents listed on the accompanying Form PTO-1449 be considered and made of record in the above-identified patent application. Copy(ies) of these documents ☒ are enclosed ☐ were filed in related U.S. Application No(s) _____ filed _____, respectively.

(b) No fee is believed to be due because:

- ☐ This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified application; or
- ☐ This Information Disclosure Statement is being submitted within three (3) months of the filing date of the above-identified application; or
- ☐ This Information Disclosure Statement is being submitted concurrently with a Request for Continued Examination (RCE); or
- ☐ This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action on the merits.

(c) ☐ This Information Disclosure Statement is being submitted before the mailing date of any final action, notice of allowance, or an action that otherwise closes prosecution; and:

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure

{W0205111.1}

Application No. 10/057,534
Filing Date: January 25, 2002
Paper Dated: August 3, 2005
Page 2 of 2

no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c), more than three months prior to the filing of this Information Disclosure Statement; or

☐ The Commissioner is hereby authorized to charge the requisite fee listed on the attached Fee Transmittal Sheet.

(d) ☒ This Information Disclosure Statement is being submitted on or before the payment of the issue fee; and:

☐ Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☒ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c), more than three months prior to the filing of this Information Disclosure Statement; and

☒ The Commissioner is hereby authorized to charge the requisite fee listed on the attached Fee Transmittal Sheet.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 23-0650.

Respectfully submitted,

Dated: August 3, 2005
The Webb Law Firm
436 Seventh Avenue
700 Koppers Building
Pittsburgh, PA 15219
Telephone No.: (412) 471-8815
Facsimile No.: (412) 471-4094

By:


Name: Ann Marie Cannoni
Reg. No. 35,972

